

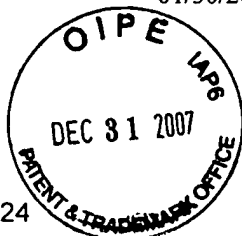


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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/768,977         | 01/30/2004             | Stephen Arnold        | Poly-50/APP            |

26479  
 STRAUB & POKOTYLO  
 620 TINTON AVENUE  
 BLDG. B, 2ND FLOOR  
 TINTON FALLS, NJ 07724



CONFIRMATION NO. 4537  
 FORMALITIES  
 LETTER

Date Mailed: 08/24/2007

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

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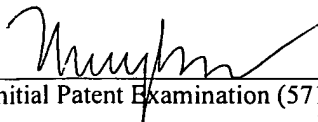
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A handwritten signature in black ink, appearing to be "Munich", is written over a horizontal line.

Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199

PART 1 - ATTORNEY/APPLICANT COPY

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**FEE TRANSMITTAL  
for FY 2007**

Effective 01/30/2007. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 230.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/768,977       |
| Filing Date          | January 30, 2004 |
| First Named Inventor | Stephen ARNOLD   |
| Examiner Name        | Not yet assigned |
| Art Unit             | 1632             |
| Attorney Docket No.  | Poly-50/APP      |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 50-1049

Deposit Account Name Straub &amp; Pokotylo

The Commissioner is authorized to: (check all that apply)

☒ Charge any underpayment of fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) due in connection with the filing submitted herewith☐ Charge fee(s) indicated below, except for the filing fee in the to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING, SEARCH &amp; EXAMINATION FEES

| Large Entity<br>Fee<br>Code (\$) | Small Entity<br>Fee<br>Code (\$) | Fee Description | Fee Paid  |
|----------------------------------|----------------------------------|-----------------|-----------|
| 1030                             | 515                              | Utility fee     |           |
| 440                              | 220                              | Design fee      |           |
| 680                              | 340                              | Plant fee       |           |
| 1440                             | 720                              | Reissue fee     |           |
| 210                              | 105                              | Provisional fee |           |
| SUBTOTAL (1)                     |                                  |                 | (\$ 0.00) |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |  |         |  |   |                |   |          |
|--------------------|--|---------|--|---|----------------|---|----------|
| Total Claims       |  | -20** = |  | X | Fee from below | = | Fee Paid |
| Independent Claims |  | -3** =  |  | X |                | = |          |
| Multiple Dependent |  |         |  |   |                | = |          |

| <u>Large Entity</u> |                 | <u>Small Entity</u> |                 | <u>Fee Description</u>                                    |
|---------------------|-----------------|---------------------|-----------------|---|
| <u>Fee Code</u>     | <u>Fee (\$)</u> | <u>Fee Code</u>     | <u>Fee (\$)</u> |   |
| 1202                | 50              | 2202                | 25              | Claims in excess of 20                                    |
| 1201                | 210             | 2201                | 105             | Independent claims in excess of 3                         |
| 1203                | 370             | 2203                | 185             | Multiple dependent claim, if not paid                     |
| 1204                | 210             | 2204                | 105             | **Reissue independent claims over original patent         |
| 1205                | 50              | 2205                | 25              | **Reissue claims in excess of 20 and over original patent |

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity<br>Fee<br>Code (\$)                | Small Entity<br>Fee<br>Code (\$) | Fee Description  | Fee Paid    |
|---|----------------------------------|--|-------------|
| 1051 130  | 2051 65                          | Surcharge - late filing fee or oath  |             |
| 1052 50   | 2052 25                          | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053 130  | 1053 130                         | Non-English specification  |             |
| 1812 2,520                                      | 1812 2,520                       | For filing a request for ex parte reexamination                            |             |
| 1804 920*                                       | 1804 920*                        | Requesting publication of SIR prior to Examiner action                     |             |
| 1805 1,840*                                     | 1805 1,840*                      | Requesting publication of SIR after Examiner action                        |             |
| 1251 120  | 2251 60                          | Extension for reply within first month                                     |             |
| 1252 460  | 2252 230                         | Extension for reply within second month                                    | 230.00      |
| 1253 1,050                                      | 2253 525                         | Extension for reply within third month                                     |             |
| 1254 1,640                                      | 2254 820                         | Extension for reply within fourth month                                    |             |
| 1255 2,230                                      | 2255 1,115                       | Extension for reply within fifth month                                     |             |
| 1401 510  | 2401 255                         | Notice of Appeal   |             |
| 1402 510  | 2402 255                         | Filing a brief in support of an appeal                                     |             |
| 1403 1,030                                      | 2403 515                         | Request for oral hearing   |             |
| 1451 1,510                                      | 1451 1,510                       | Petition to institute a public use proceeding                              |             |
| 1452 510  | 2452 255                         | Petition to revive - unavoidable   |             |
| 1453 1,540                                      | 2453 770                         | Petition to revive - unintentional   |             |
| 1501 1,440                                      | 2501 720                         | Utility issue fee (or reissue)   |             |
| 1502 820  | 2502 410                         | Design issue fee   |             |
| 1503 1,130                                      | 2503 565                         | Plant issue fee  |             |
| Petitions to the Commissioner - check fee sheet |                                  |  |             |
| 1807 50   | 1807 50                          | Processing fee under 37 CFR 1.17(c)  |             |
| 1806 180  | 1806 180                         | Submission of Information Disclosure Stmt                                  |             |
| 8021 40   | 8021 40                          | Recording each patent assignment per property (times number of properties) |             |
| 1809 810  | 2809 405                         | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810 810  | 2810 405                         | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801 810  | 2801 405                         | Request for Continued Examination (RCE)                                    |             |
| 1802 900  | 1802 900                         | Request for expedited examination of a design application                  |             |
| Other fee (specify)                             |                                  |  |             |
| * Reduced by Basic Filing Fee Paid              |                                  |  |             |
| SUBTOTAL (3)                                    |                                  |  | (\$ 230.00) |

## SUBMITTED BY

|                   |                         |                                   |                   |           |                |
|-------------------|-------------------------|-----------------------------------|-------------------|-----------|----------------|
| Name (Print/Type) | John C. Pokotylo        | Registration No. (Attorney/Agent) | 36,242            | Telephone | (732) 542-9070 |
| Signature         | <i>John C. Pokotylo</i> | Date                              | December 26, 2007 |           |                |

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Modified PTO/SB/21 (08-00)  
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|  |                             |   |
|--|-----------------------------|---|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 10/768,977                                |
|  | <b>Filing Date</b>          | January 30, 2004                          |
|  | <b>First Named Inventor</b> | Stephen ARNOLD                            |
|  | <b>Group Art Unit</b>       | 1632                                      |
|  | <b>Examiner Name</b>        | Not yet assigned                          |
| <b>Total Number of Pages in This Submission</b>  |                             | <b>Attorney Docket Number</b> Poly-50/APP |

| ENCLOSURES <i>(check all that apply)</i>  |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers <i>(for an Application)</i><br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i><br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Postcard Receipt<br><input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i><br>- a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures<br>- a sequence listing (Appendix)<br>- two CD-Rs |
| <b>Remarks</b>  |  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                    |
|--|------------------------------------|
| Firm or Individual name                    | John C. Pokotylo (Reg. No. 36,242) |
| Signature                                  |                                    |
| Date                                       | December 26, 2007                  |

| CERTIFICATE OF MAILING   |                  |      |                   |
|--|------------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: <span style="border: 1px solid black; padding: 2px;">December 26, 2007</span> |                  |      |                   |
| Typed or printed name  | John C. Pokotylo |      |                   |
| Signature  |                  | Date | December 26, 2007 |

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